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03945 U.S. PTO  
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09/22/03



<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <u>3558P022D2</u>
		First Inventor <u>James M. Cleeves</u>
		Title <u>Three-Dimensional Memory</u>
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No. <u>EV339922560US</u>

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO: <small>Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</small>
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification <small>[Total Pages 28]</small> <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>[Total Sheets 5]</small></p> <p>5. Oath or Declaration (signed) <small>[Total Pages 5]</small> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Application Amended to Reflect Claim of Priority</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small></p> <p>17. <input type="checkbox"/> Other: _____</p>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 10/185,508

Prior application Information: Examiner Huynh, Yennhu B. Group/Art Unit: 2813

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number	<u>08791</u>		or <input checked="" type="checkbox"/> Correspondence address below
Name	Edwin H. Taylor Blakely, Sokoloff, Taylor & Zafman LLP		
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Name (Print/Type)	Edwin H. Taylor	Registration No. (Attorney/Agent)	25,129
Signature			

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$ 750.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	James M. Cleeves
Examiner Name	
Group/Art Unit	
Attorney Docket No.	3558P022D2

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	
1001	2001	375	Utility filing fee
1002	2002	165	Design filing fee
1003	2003	260	Plant filing fee
1004	2004	375	Reissue filing fee
1005	2005	80	Provisional filing fee
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	<b>750.00</b>

### 2. EXTRA CLAIM FEES

Total Claims	10	20*	=	0	X	18.00	=	\$0.00	Fee Paid
Independent Claims	2	3*	=	0	X	84.00	=	\$0.00	
Multiple Dependent									

Large Entity	Small Entity	Fee Description	
Fee Code	Fee Code	Fee (\$)	
1202	2202	9	
1201	2201	42	
1203	2203	140	
1204	2204	42	
1205	2205	9	
<b>SUBTOTAL (2)</b>		<b>(\$)</b>	<b>0.00</b>

\*or number previously paid, if greater. For Reissues, see below

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	
1051	2051	65	Surcharge - late filing fee or oath
1052	2052	25	Surcharge - late provisional filing fee or cover sheet.
2053	2053	130	Non-English specification
1812	1812	2,520	For filing a request for ex parte reexamination
1804	1804	920*	920 * Requesting publication of SIR prior to Examiner action
1805	1805	1,840*	1,840 * Requesting publication of SIR after Examiner action
1251	2251	55	Extension for reply within first month
1252	2252	205	Extension for reply within second month
1253	2253	465	Extension for reply within third month
1254	2254	725	Extension for reply within fourth month
1255	2255	985	Extension for reply within fifth month
1404	2401	160	Notice of Appeal
1402	2402	160	Filing a brief in support of an appeal
1403	2403	140	Request for oral hearing
1451	2451	1,510	Petition to institute a public use proceeding
1452	2452	110	Petition to revive - unavoidable
1453	2453	1,300	Petition to revive - unintentional
1501	2501	650	Utility issue fee (or reissue)
1502	2502	470	Design issue fee
1503	2503	630	Plant issue fee
1460	2460	130	Petitions to the Commissioner
1807	1807	50	Processing fee under 37 CFR 1.17(q)
1806	1806	180	Submission of Information Disclosure Stmt
8021	8021	40	Recording each patent assignment per property (times number of properties)
1809	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
1801	2801	375	Request for Continued Examination (RCE)
1802	1802	900	Request for expedited examination of a design application
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**(\$)**

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Edwin H. Taylor	Registration No. (Attorney/Agent)	25,129	Telephone	(408) 720-8300
Signature					
	Date 9/9/03				

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (M) 08/11/2003.  
SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450